

JAN 07 2005

PATENT

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application No. 10/712,436 Group Art Unit: 3738  
Applicant(s): Roger Carignan and Examiner: Alvin J. Stewart  
Clyde Pratt  
Filed: 11/12/2003 Docket No. 58298-011501  
Title: A CUSTOM REPLACEMENT DEVICE Customer No. 33717  
FOR RESURFACING A FEMUR AND  
METHOD OF MAKING THE SAME

## Certificate of Transmission

I hereby certify that this correspondence is being facsimile transmitted to the U.S. Patent and Trademark Office Patent Fax No. (703) 872-9306.

January 7, 2005

Date

Miyabi Grace

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

## TRANSMITTAL OF POWER OF ATTORNEY

Dear Sir or Madam:

Enclosed please find the following executed documents.

- Power of Attorney;
- Statement of Under 37 CFR 3.73(b); and
- Copy of Notice of Recordation of Assignment Document for Reel/Frame 010998-0480.

No fee is believed due. However, if the Applicant is mistaken, the Commissioner is hereby authorized to charge any required fee in connection with the submission of this paper, any additional fees which may be required, now or in the future, or credit any overpayment to Account No. 50-2638.

Respectfully submitted,

GREENBERG TRAURIG, LLP

Date January 7, 2005

By

Claude Nassif, Ph.D.

Reg. No. 52,061

Tel.: (310) 586-7828

Greenberg Traurig, LLP  
2450 Colorado Avenue, Suite 400  
Santa Monica, California 90404  
Telephone: 310-586-7700  
Facsimile: 310-586-7800

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**STATEMENT UNDER 37 CFR 3.73(b)**Applicant/Patent Owner: Roger Carignan and Clyde R. PrattApplication No./Patent No.: 10/712,436 Filed/Issue Date: November 12, 2003

Entitled:

KInamed, Inc. \_\_\_\_\_, a corporation  
(Name of Assignee) (Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. ☒ the assignee of the entire right, title, and interest; or
2. ☐ an assignee of less than the entire right, title and interest.  
The extent (by percentage) of its ownership interest is \_\_\_\_\_ %

in the patent application/patent identified above by virtue of either:

- A. ☒ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel 010998, Frame 0480, or for which a copy thereof is attached.

OR

- B. ☐ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as shown below:

1. From: \_\_\_\_\_ To: \_\_\_\_\_  
The document was recorded in the United States Patent and Trademark Office at Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.
2. From: \_\_\_\_\_ To: \_\_\_\_\_  
The document was recorded in the United States Patent and Trademark Office at Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.
3. From: \_\_\_\_\_ To: \_\_\_\_\_  
The document was recorded in the United States Patent and Trademark Office at Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.

☐ Additional documents in the chain of title are listed on a supplemental sheet.

- ☒ Copies of assignments or other documents in the chain of title are attached.  
[NOTE: A separate copy (i.e., a true copy of the original assignment document(s)) must be submitted to Assignment Division in accordance with 37 CFR Part 3, if the assignment is to be recorded in the records of the USPTO. See MPEP 302.08]

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

Clyde R. Pratt  
Signature

Clyde R. Pratt

Printed or Typed Name

Chairman and Chief Executive Officer

Title

1/7/05  
Date  
805-384-2748

Telephone Number

This collection of information is required by 37 CFR 3.73(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

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Approved for use through 11/30/2005, OMB 0651-0035

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<b>REVOCATION OF POWER OF ATTORNEY WITH NEW POWER OF ATTORNEY AND CHANGE OF CORRESPONDENCE ADDRESS</b>	Application Number	10/712,436
	Filing Date	November 12, 2003
	First Named Inventor	Roger Carignan
	Art Unit	3738
	Examiner Name	Alvin J. Stewart
	Attorney Docket Number	58298-011501

I hereby revoke all previous powers of attorney given in the above-identified application.

☐ A Power of Attorney is submitted herewith.

OR

☒ I hereby appoint the practitioners associated with the Customer Number:

33717

☒ Please change the correspondence address for the above-identified application to:☒ The address associated with  
Customer Number:

33717

OR

☐ Firm or  
Individual Name

Address

City

State

Zip

Country

Telephone

Fax

I am the:

☐ Applicant/Inventor.☒ Assignee of record of the entire interest. See 37 CFR 3.71.  
Statement under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/96)**SIGNATURE of Applicant or Assignee of Record**

Signature

Name

Clyde R. Pratt

Date

11/2/05

Telephone

805-384-2748

NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required, see below.

☐ Total of \_\_\_\_\_ forms are submitted.

This collection of information is required by 37 CFR 1.36. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 3 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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S.Oh



UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark Office  
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OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231

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PTAS

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LOS ANGELES, CALIFORNIA 90067



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UNITED STATES PATENT AND TRADEMARK OFFICE  
NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY, SUITE 320, WASHINGTON, D.C. 20231.

RECORDATION DATE: 07/24/2000

REEL/FRAME: 010998/0480  
NUMBER OF PAGES: 2

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:  
CARIGNAN, ROGER

DOC DATE: 03/11/2000

ASSIGNOR:  
PRATT, CLYDE R.

DOC DATE: 03/10/2000

ASSIGNEE:  
KINAMED, INC.  
2192-C ANCHOR COURT  
NEWBURY PARK, CALIFORNIA 91320-1603

SERIAL NUMBER: 09528128  
PATENT NUMBER:

FILING DATE: 03/17/2000  
ISSUE DATE:

ALLYSON PURNELL, EXAMINER  
ASSIGNMENT DIVISION  
OFFICE OF PUBLIC RECORDS

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OCT 11 2000  
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Form PTO-1595

**RECORDATION FORM COVER  
PATENTS ONLY**

101436022

Docket No. 410144-42

To the Hon. Commissioner of Patents &amp; Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

**Roger Carignan; and  
Clyde R. Pratt**
Additional name of conveying party attached? ☐ Yes ☒ No

2. Name and address of receiving party(ies)

Name Kinamed, Inc.Street Address: 2192-C Anchor Court,Newbury Park, California 91320-1603Additional name(s) and address(es) attached? ☐ Yes ☒ No

3. Nature of conveyance:

☒ Assignment☐ Merger☐ Security Agreement☐ Change of Name☐ OtherExecution Date: March 10 & 11, 20004. Application number(s) or patent numbers: 09/528,128

If this document is being filed together with a new application, the execution date of the application is: \_\_\_\_\_

A. Patent Application No.(s)

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name Sung Oh, Esq.Internal Address: 38th FloorStreet Address 2029 Century Park EastCity: Los AngelesState CAZIP 900676. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41)

\$40.00☒ Enclosed (Check No. 020550)☒ Any discrepancy or overpayment is authorized to be charged to deposit account

8. Deposit Account number:

16-2230

(Attach duplicate copy of this page if paying by deposit account)

**DO NOT USE THIS SPACE**

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Christopher Darrow, Reg. #30-166

Name of Person Signing

Signature

July 17, 2000

Date

Total number of pages including cover sheet, attachments, and document: 2

08/17/2000 KTHAI1

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01 FC:581

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LA: 221929 v01 03/17/2000

**ASSIGNMENT**

WHEREAS, we, Roger Carignan and Clyde R. Pratt, the undersigned inventors (ASSIGNORS), have invented a *Custom Replacement Device for Resurfacing a Femur and Method of Making the Same*, for which we filed an application for letters patent of the United States herewith. We have executed the application concurrently with executing this assignment. We are the sole owners of this invention and improvements; and

WHEREAS, KINAMED, INC., (ASSIGNEE) is a corporation, organized and existing under the laws of the State of California, having a place of business at 2192-C Anchor Court, Newbury Park, California 91320-1603. ASSIGNEE desires to acquire the entire right, title and interest in and to the inventions, applications and letters patent to be granted and issued for the inventions and applications;

NOW, THEREFORE, for and in consideration of the sum of One Dollar (\$1.00) by the ASSIGNEE to us paid, and other valuable consideration, the receipt and legal sufficiency of all of which we acknowledge, we, the ASSIGNORS, have sold and do hereby sell, assign, transfer and set over unto the ASSIGNEE, its successors and assigns, the entire right, title and interest

in and to the inventions and all improvements thereon,

in and to the application for letters patent thereon,

in and to applications pertaining to or based upon the inventions and applications, including divisional and continuing applications and continuations-in-part,

in and to any and all letters patent which may be granted and issued on the inventions and applications, or any of them, not only for, to and in the United States of America, its territories and possessions, but for, to and in all foreign countries, together with and including all priority rights based upon any and all applications in the United States of America covered by this Assignment, and

in and to any right to sue for past infringement of the inventions, improvements, applications and letters patent.

And for the above-named considerations, we agree that we will, at the request of the ASSIGNEE, execute any and all applications for letters patent for the inventions and any and all other papers and documents and do all other and further lawful acts that the ASSIGNEE may deem necessary or desirable to obtain letters patent on the inventions, to secure the grant of such letters patent and to perfect and vest in the ASSIGNEE the entire right, title and interest in the inventions, applications and letters patent.

And for the above-named considerations, we authorize and empower the ASSIGNEE, its successors and assigns, to apply for and obtain, in its or their own names, letters patent for the inventions before competent International Authorities including the World Intellectual Property Office and in any and all countries foreign to the United States in which applications for letters patent can be so made or letters patent so obtained.

Dated: 3-11-00  
ROGER CARIGNANDated: 3/10/00  
CLYDE R. PRATT